

# Refinement Reflection: Complete Verification with SMT



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# Verification with SMT

```
fib :: i:{Int | 0≤i} -> {v:Int | 0<v ∧ i≤v}
fib i
| i≤1          = 1
| otherwise = fib (i-1) + fib (i-2)
```

# Verification with SMT

```
fib :: i:{Int | 0≤i} -> {v:Int | 0<v∧i≤v}
fib i
| i≤1      = 1 ✓
| otherwise = fib (i-1) + fib (i-2)
```

$$i \leq 1 \wedge v = 1 \Rightarrow 0 < v \wedge i \leq v$$

SMT-Valid

# Verification with SMT

```
fib :: i:{Int | 0≤i} -> {v:Int | 0<v ∧ i≤v}
fib i
| i≤1          = 1 ✓
| otherwise     = fib (i-1) + fib (i-2) ✓
```

$$\begin{array}{c} \text{not } i \leq 1 \\ 0 < v_{i-1} \wedge i-1 \leq v_{i-1} \\ 0 < v_{i-2} \wedge i-2 \leq v_{i-2} \\ v = v_{i-1} + v_{i-2} \end{array} \Rightarrow 0 < v \wedge i \leq v$$

SMT-Valid

# Verification with SMT

```
fib :: i:{Int | 0≤i} -> {v:Int | 0<v∧i≤v}
fib i
| i≤1          = 1 ✓
| otherwise     = fib (i-1) + fib (i-2) ✓
```

Verified

## Verification with SMT

To be **Practical**, SMT queries are **Decidable**

Can verification be Complete?

# Complete Verification with SMT

## **Refinement Reflection**

Reflect Function Definition in Result Type

## **Proof by Logical Evaluation**

Simplify Proof Generation



**LiquidHaskell**

# Complete Verification with SMT

```
fib :: i:{Int | 0≤i} -> {v:Int | 0<v∧i≤v}
fib i
| i≤1          = 1
| otherwise     = fib (i-1) + fib (i-2)
```

How to express **theorems** about functions?

```
\forall i. 0 ≤ i => fib i ≤ fib (i+1)
```

# How to express **theorems** about functions?

## **Step 1:** Definition

In SMT **fib** is “Uninterpreted Function”

\forall i j. i = j => fib i = fib j

How to connect logic **fib** with target **fib**?

# How to connect logic fib with target fib?

~~fib :: i:{Int | 0≤i} > {v: Int | 0< v ∧ i ≤ v}~~

~~fib i~~

~~| i ≤ 1~~

~~| otherwise = fib (i-1) + fib (i-2)~~

**NOT decidable**

~~SMT AXIOM~~

~~\forall i.~~

~~if i ≤ 1 then fib i = 1~~

~~else fib i = fib (i-1) + fib (i-2)~~

**Decidable**

# How to connect logic fib with target fib?

```
fib :: i:{Int | 0≤i} -> {v:Int | 0<v∧i≤v}
fib i
| i≤1          = 1
| otherwise    = fib (i-1) + fib (i-2)
```

## Refinement Reflection

```
fib :: i:{Int | 0≤i} -> {v:Int | v=fib i ∧
  if i≤1 then fib i = 1
  else fib i = fib (i-1) + fib (i-2)
}
```

# Refinement Reflection

```
fib :: i:{Int | 0≤i} -> {v:Int | v=fib i ∧
  if i≤1 then fib i = 1
  else fib i = fib (i-1) + fib (i-2)
}
```

# Refinement Reflection

**Step 1:** Definition

**Step 2:** Reflection

```
fib :: i:{Int | 0≤i} -> {v:Int | v=fib i ∧
  if i≤1 then fib i = 1
  else fib i = fib (i-1) + fib (i-2)
}
```

# Refinement Reflection

## Step 1: Definition

## Step 2: Reflection

```
fib :: i:{Int | 0≤i} -> {v:Int | v=fib i ∧  
    if i≤1 then fib i = 1  
    else fib i = fib (i-1) + fib (i-2)  
}
```

## Step 3: Application

```
fib 0 :: {v:Int | v=fib 0 ∧ fib 0 = 1}
```

# Application is Type Level Computation

fib 0

fib 0 = 1

# Application

# Type Level Computation

fib 0

fib 0 = 1

fib 1

fib 1 = 1

fib 2

fib 2 = fib 1 + fib 0

fib i

?

- ? if  $i \leq 1$  then fib i = 1
- ? else fib i = fib (i-1) + fib (i-2)

# Application      Type Level Computation

fib 0

fib 0 = 1

fib 1

fib 1 = 1

fib 2

fib 2 = fib 1 + fib 0

if 1 < i then

fib i

fib i = fib (i-1) + fib (i-2)

if  $i \leq 1$  then fib i = 1

else fib i = fib (i-1) + fib (i-2)

# Application      Type Level Computation

**fib 0**

**fib 0 = 1**

**fib 1**

**fib 1 = 1**

**fib 2**

**fib 2 = fib 1 + fib 0**

**if 1 < i then**

**fib i**

**fib i = fib (i-1) + fib (i-2)**

**fib (i+1)**

**fib (i+1) = fib i + fib (i-1)**

# Theorem Proving

```
fibUp :: i:Nat -> {fib i ≤ fib (i+1)}
fibUp i
| i == 0
= fib 0 <. fib 1
*** QED
| i == 1
= fib 1 <=. fib 1 + fib 0 <= fib 2
*** QED
| otherwise
= fib i
<=. fib i + fib (i-1)
<=. fib (i+1)
*** QED
```

# Reflection for Theorem Proving

**Theorems** are refinement types.

**Proofs** are functions.

**Check** that functions prove theorems.

**Proofs** are functions.

`fibUp :: i:Nat -> {fib i ≤ fib (i+1)}`

# Proofs are functions.

```
fibUp :: i:Nat -> {fib i ≤ fib (i+1)}
```

Let's call them!

```
fibUp 4 :: {fib 4 ≤ fib 5}
```

```
fibUp i :: {fib i ≤ fib (i+1)}
```

```
fibUp (j-1) :: {fib (j-1) ≤ fib j}
```

# Proofs are functions. Let's call them!

```
fibMono :: i:Nat -> j:{Nat | i < j}  
         -> {fib i ≤ fib j}
```

```
fibMono i j  
| i + 1 == j  
= fib i  
<=. fib (i+1) ? fibUp i  
==. fib j  
*** QED  
| otherwise  
= fib i  
<=. fib (j-1) ? fibMono i (j-1)  
<=. fib j     ? fibUp (j-1)  
*** QED
```

# Proofs are functions. Let's call them!

```
fibMono :: i:Nat -> j:{Nat | i < j}  
         -> {fib i ≤ fib j}
```

```
fibMono i j  
| i + 1 == j  
= fib i  
<=. fib (i+1) ? fibUp i  
==. fib j  
*** QED  
| otherwise  
= fib i  
<=. fib (j-1) ? fibMono i (j-1)  
<=. fib j      ? fibUp (j-1)  
*** QED
```

# Proofs are functions. Let's abstract them!

```
fibMono :: i:Nat -> j:{Nat | i < j}
          -> fib:(Nat -> Int)
          -> (k:Nat -> {fib k ≤ fib (k+1)})
          -> {fib i ≤ fib j}
```

```
fibMono i j fib fibUp
| i + 1 == j
= fib i
<=. fib (i+1) ? fibUp i
==. fib j
*** QED
| otherwise
= fib i
<=. fib (j-1) ? fibMono i (j-1)
<=. fib j      ? fibUp (j-1)
*** QED
```



LiquidHaskell

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can

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```
fibUp :: i:Nat -> {fib i ≤ fib (i+1)}
```

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can

fib i = ?  
fib (i+1) = ?

**Can't unfold!**

No information about i

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i=0$ , then

$$\begin{array}{l} \text{fib } i = ? \\ \text{fib } (i+1) = ? \end{array}$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i=0$ , then

$$\text{fib } i = 1$$

$$\text{fib } (i+1) = 1$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i=1$ , then

$$\begin{array}{l} \text{fib } i = ? \\ \text{fib } (i+1) = ? \end{array}$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i=1$ , then

$$\text{fib } i = 1$$

$$\text{fib } (i+1) = \text{fib } 1 + \text{fib } 0$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i=1$ , then

$$\text{fib } i = 1$$

$$\text{fib } (i+1) = \text{fib } 1 + \text{fib } 0$$

$$\text{fib } 1 = 1$$

$$\text{fib } 0 = 1$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i > 1$ , then

$$\begin{array}{l} \text{fib } i = ? \\ \text{fib } (i+1) = ? \end{array}$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i > 1$ , then

$$\begin{aligned} \text{fib } i &= \text{fib } (i-1) + \text{fib } (i-2) \\ \text{fib } (i+1) &= \text{fib } i + \text{fib } (i-1) \end{aligned}$$

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can , using context.

if  $i > 1$ , then

$$\text{fib } i = \text{fib } (i-1) + \text{fib } (i-2)$$

$$\text{fib } (i+1) = \text{fib } i + \text{fib } (i-1)$$

$$\text{fib } (i-1) = ?$$

$$\text{fib } (i-2) = ?$$

**Can't unfold!**

# Proof by Logical Evaluation (PLE)

```
fibUp :: i:Nat -> {v:() | fib i ≤ fib (i+1)}
fibUp i
| i == 0
= ()
| i == 1
= ()
| otherwise
= ()
```

# Proof by Logical Evaluation (PLE)

**Idea:** Unfold if you can, using context.

**Prop I:** Termination.

**Prop II:** Completeness.

**Application:** Proof Simplification.

# Evaluation

(Spec + Proof) / Impl

x2.4

x1.6

Benchmark	Common		Without PLE Search			With PLE Search		
	Impl (l)	Spec (l)	Proof (l)	Time (s)	SMT (q)	Proof (l)	Time (s)	SMT (q)
Total	1176	1279	1524	148.76	1626	638	150.88	4068

# Evaluation

(Spec + Proof) / Impl

x2.4

x1.6

Benchmark	Common		Without PLE Search			With PLE Search		
	Impl (l)	Spec (l)	Proof (l)	Time (s)	SMT (q)	Proof (l)	Time (s)	SMT (q)
<b>Arithmetic</b>								
Fibonacci	7	10	38	2.74	129	16	1.92	79
Ackermann	20	73	196	5.40	566	119	13.80	846
<b>Class Laws</b>								
Monoid	33	50	109	4.47	34	33	4.22	209
Functor	48	44	93	4.97	26	14	3.68	68
Applicative	62	110	241	12.00	69	74	10.00	1090
Monad	63	42	122	5.39	49	39	4.89	250
<b>Higher-Order Properties</b>								
Logical Properties	0	20	33	2.71	32	33	2.74	32
Fold Universal	10	44	43	2.17	24	14	1.46	48
<b>Functional Correctness</b>								
SAT-solver	92	34	0	50.00	50	0	50.00	50
Unification	51	60	85	4.77	195	21	5.64	422
<b>Deterministic Parallelism</b>								
Conc. Sets	597	329	339	40.10	339	229	40.70	861
<i>n</i> -body	163	251	101	7.41	61	21	6.27	61
Par. Reducers	30	212	124	6.63	52	25	5.56	52
<b>Total</b>	1176	1279	1524	148.76	1626	638	150.88	4068

# Evaluation

**(Spec + Proof) / Impl**      **x2.4**      **x1.6**

Benchmark	Common		Without PLE Search			With PLE Search		
	Impl (l)	Spec (l)	Proof (l)	Time (s)	SMT (q)	Proof (l)	Time (s)	SMT (q)
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Ackermann	20	73	196	5.40	566	119	13.80	846
<b>Class Laws</b>								
Monoid	33	50	x4			x2		
Functor	48	44	x4			x2		
Applicative	62	110	x4			x2		
Monad	63	42	x4			x2		
<b>Higher-Order Properties</b>								
Logical Properties	0	20	33	2.71	32	33	2.74	32
Fold Universal	10	44	43	2.17	24	14	1.46	48
<b>Functional Correctness</b>								
SAT-solver	92	34	0	50.00	50	0	50.00	50
Unification	51	60	85	4.77	195	21	5.64	422
<b>Deterministic Parallelism</b>								
Conc. Sets	597	329	x1.7			x1.3		
n-body	163	251	x1.7			x1.3		
Par. Reducers	30	212	x1.7			x1.3		
<b>Total</b>	1176	1279	1524	148.76	1626	638	150.88	4068

# Complete Verification with SMT

In the paper!

PLE is Complete & Terminating

Comparison with other verifiers

Encoding of Natural Deduction (Sec 3!)

# Complete Verification with SMT

## **Refinement Reflection**

Reflect function definition in result type  
Function application gives type level computation

## **Proof by Logical Evaluation**

Unfolds function definitions, if it can  
Complete & Terminating Procedure



**LiquidHaskell**

Thanks!